

THREE ERAS OF PYTHAGOREANISM

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WARNING!

Do not believe anything I say! I am a musician, not a philosopher! Only a fool would trust a musician about philosophy!



... on the other hand ...

I was raised by a philosopher

I am married to a philosopher

I was kicked out of philosophy grad. school by a philosopher.









... on the other hand ...

When it comes to the mathematics of music I have some claim to knowledge ...







THE ERAS

Metaphysical Pythagoreanism (~500 BCE–)

human beings are directly sensitive to number the world is structured by simple ratios and shapes

Enlightenment Pythagoreanism (~1700–) our relation to number is mediated by continuous spaces the world is structured by differential equations

Categorical Pythagoreanism (~1945–) continuity is inessential

deeper and more abstract patterns of reasoning crossing conceptual boundaries



I am going to illustrate each era with reference to the consonance of the perfect fifth.



FIRST ERA



HIPPASUS?

PYTHAGORAS (

- Heard some blacksmiths pounding hammers?
- Conducted experiments with bronze disks?
- Reinvented the monochord?

... discovered that consonance was connected to whole-number ratios ...

"the first empirically secure mathematical description of a physical fact" (Wikipedia)



METAPHYSICAL PYTHAGOREANISM

- No theory of how whole numbers produce the sensation of consonance.
 - A direct or unmediated sensitivity to numerical proportion
 - Led to a metaphysical picture emphasizing numerical simplicity
 - musica universalis
 - circular planetary orbits
 - the theory of forms?



(VERY OBVIOUS) PROBLEMS

• A simple ratio is a linear relationship with small integer coefficients

i**x** = j**y** for small integers i, j

- Such relationships are just a small part of science and math.
- There are lots of relationships with
 - noninteger coefficients ($c = \pi d$)
 - exponents ($\boldsymbol{a} = \pi \boldsymbol{r}^2$)
- To be sure, many musicians still seem to subscribe to metaphysical Pythagoreanism:
 - many seem to think there is something special about small-number ratios like 7:4



SECOND ERA



ENLIGHTENMENT PYTHAGOREANISM

- Our interaction with numbers is mediated by continuous spaces and differential equations.
- It is the equations that are mathematically simple rather than the numerical quantities in themselves.
- The biggie:

$$d = vt + \frac{1}{2}at^2$$



ENLIGHTENMENT PYTHAGOREANISM

- Our interaction with numbers is mediated by continuous spaces and differential equations.
- It is the equations that are mathematically simple rather than the numerical quantities in themselves.
- Kepler's "harmonic law."

 $T \propto r^{(3/2)}$ same ratio as perfect fifth!



LAGRANGE/HAMILTON

- The state of the entire world (or any isolated subsystem of the world) can be represented as a point in a configuration space.
- That point moves smoothly along a trajectory determined by differential equations whose general form is fixed.
- Physical theory is fundamentally continuous

SOME HISTORY

- Enlightenment Pythagoreanism:
 - "The book of nature is written in the language of mathematics."
 - Galileo Galilei (1520–1591)
 - Equations not numbers.
 - Solution spaces not points.
- Less known:

DEPARTMENT of MUSIC AT PRINCETON

- Galileo's father Vincenzo was a famous composer/theorist.
- The idea of mathematizing nature descends through him from Pythagoras!







INTERESTING QUESTION

- Why are physical equations *simple*?
- That is, why do they tend to involve small exponents?
 - arise from *our* approximations
 - stability theorems in dynamical systems
 - we can't quantize equations with more than two time derivatives

- ...

• Unlike metaphyiscal Pythagoreanism, we have some answers.



ENLIGHTENMENT PYTHAGOREANISM: TWO CASE STUDIES

- 1. Where does consonance come from?
- 2. How is musical knowledge geometrical?



CASE STUDY 1

- Consonance is *not* produced by numbers themselves.
- Instead, it arises through a process of dissonance minimization that depends on the structure of a sound, which in turn depends on the physics of the instrument involved.
- It is a *minimum* in a continuous space.



FOURIER/HELMHOLTZ/SETHARES

- A vibrating body produces *partials* according to its detailed physical construction.
- Consonance is produced when the partials of two sounds coincide (or are sufficiently distant so as not to interfere).
- Familiar instruments vibrate harmonically:

-f, 2f, 3f, 4f, ...

 The consonance of whole-number frequency ratios is due to the *spectrum* of the sound.



FOURIER/HELMHOLTZ/SETHARES

 Therefore, nonharmonic sounds would sound consonant at different, non-whole-number ratios.

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- familiar scale, harmonic partials
- familiar scale, partials stretched by a factor of 2.1
- stretched scale, stretched partials
- stretched scale, familiar partials







DISSONANCE CURVES (SETHARES)



1.87 compressed spectrum and scale 2.0 harmonic spectrum and scale





DISSONANCE CURVES (SETHARES)



harmonic spectrum

8tet spectrum



SO ...

- Pythagoras didn't see the whole picture.
- Simple ratios are a byproduct:
 - consonant ratios are determined by a sound's spectrum (overtone structure)
 - many Western instruments produce harmonic spectra, leading to simple-ratio consonance
 - other instruments (bells, metallophones) produce nonharmonic spectra
 - these instruments do not minimize dissonance at simple ratios.



CASE STUDY 2

- Pitch is a continuous onedimensional space.
- An ensemble of instruments occupies a point in a higherdimensional *configuration space*.
 - The product of many copies of pitch space.
- Symmetries allow us to fold up Euclidean space, creating a variety of *quotient spaces* with interesting geometrical features.





SYMMETRY AND GEOMETRY

- The Octave symmetry turns lines into circles
- The **P**ermutation symmetry adds mirrors
- The Transposition symmetry subtracts a dimension
- The Inversion symmetry adds mirrors
- Loops in these quotient spaces ("orbifolds") represent musical transformations connecting an object to itself
 - "dual" to symmetries in a way to be described





EXAMPLE: OCTAVE SHIFTS





EXAMPLE: OCTAVE SHIFTS





EXAMPLE: PERMUTATION





EXAMPLE: PERMUTATION



Add mirror!



EXAMPLE: OCTAVE SHIFTS AND PERMUTATION

(F\$3, F\$4) (G3, G4) (G\$3, G\$4) (A3, A4) (B\$3, B\$4) (B3, B4) (C4, C5) (C\$4, C\$5) (D4, D5) (E\$4, E\$5) (E4, E5) (F4, F5) (F\$4, F\$5) (F\$4, F\$5) (G3, F#4) (Ab3, G4) (A3, G#4) (Bb3, A4) (B3, A#4) (C4, B4) (C#4, C5) (D4, C#5) (Eb4, D5) (E4, Eb5) (F4, E5) (F#4, F5) (G3, F4) (Ab3, Gb4) (A3, G4) (Bb3, Ab4) (B3, A4) (C4, Bb4) (C#4, B4) (D4, C5) (Eb4, C#5) (E4, D5) (F4, Eb5) (F#4, E5) (G4, F5) (Ab3, F4) (A3, F#4) (Bb3, G4) (B3, G#4) (C4, A4) (C#4, A#4) (D4, B4) (Eb4, C5) (E4, C#5) (F4, D5) (Gb4, Eb5) (G4, E5) $(G^{\sharp}_{43}, E4)$ (A3, F4) (Bb3, Gb4) (B3, G4) (C4, Ab4) $(C^{\sharp}_{4}, A4)$ (D4, Bb4) $(D^{\sharp}_{4}, B4)$ (E4, C5) (F4, Db5) $(F^{\sharp}_{4}, D5)$ (G4, Eb5) $(G^{\sharp}_{4}, E5)$ $(G^{\sharp}_{4}, E5)$ (A3, E4) (Bb3, F4) (B3, F#4) (C4, G4) (Db4, Ab4) (D4, A4) (Eb4, Bb4) (E4, B4) (F4, C5) (Gb4, Db5) (G4, D5) (Ab4, Eb5) (A3, D#4) (Bb3, E4) (B3, F4) (C4, F#4) (Db4, G4) (D4, G#4) (Eb4, A4) (E4, Bb4) (F4, B4) (F#4, C5) (G4, C#5) (G#4, D5) (A4, D#5) (Bb3, Eb4) (B3, E4) (C4, F4) (Db4, Gb4) (D4, G4) (Eb4, Ab4) (E4, A4) (F4, Bb4) (F#4, B4) (G4, C5) (G#4, C#5) (A4, D5) (Bb3, D4) (B3, D#4) (C4, E4) (Db4, F4) (D4, F#4) (Eb4, G4) (E4, G#4) (F4, A4) (F#4, A#4) (G4, B4) (Ab4, C5) (A4, C#5) (Bb4, D5) (B3, D4) (C4, Eb4) (C#4, E4) (D4, F4) (Eb4, Gb4) (E4, G4) (F4, Ab4) (F#4, A4) (G4, Bb4) (G#4, B4) (A4, C5) (A#4, C#5) (B3, C#4) (C4, D4) (C#4, Eb4) (D4, E4) (Eb4, F4) (E4, F#4) (F4, G4) (F#4, G#4) (G4, A4) (G#4, A#4) (A4, B4) (Bb4, C5) (B4, C#5) (C4, C#4) (C#4, D4) (D4, Eb4) (Eb4, E4) (E4, F4) (F4, F#4) (F#4, G4) (G4, Ab4) (Ab4, A4) (A4, Bb4) (Bb4, B4) (B4, C5) (C4, C4) (C#4, C#4) (D4, D4) (Eb4, Eb4) (E4, E4) (F4, F4) (F4, F4) (G4, G4) (G4, G4) (G#4, G#4) (A4, A4) (Bb4, Bb4) (B4, B4) (C5, C5) (C#4, C4) (D4, C#4)(Eb4, D4) (E4, Eb4) (F4, E4) (F#4, F4) (G4, F#4) (Ab4, G4) (A4, G#4) (Bb4, A4) (B4, A#4) (C5, B4) (C#4, B3) (D4, C4) (Eb4, C#4) (E4, D4) (F4, Eb4) (F#4, E4) (G4, F4) (Ab4, Gb4) (A4, G4) (Bb4, Ab4) (B4, A4) (C5, Bb4) (C#5, B4) $\left| (D4, B3) (Eb4, C4) (E4, C\#4) (F4, D4) (Gb4, Eb4) (G4, E4) \right| (Ab4, F4) (A4, F\#4) (Bb4, G4) (B4, G\#4) (C5, A4) (C\#5, A\#4) (C4, A\#4)$ (D4, Bb3) (D#4, B3) (E4, C4) (F4, Db4) (F#4, D4) (G4, Eb4) (G#4, E4) (A4, F4) (Bb4, Gb4) (B4, G4) (C5, Ab4) (C#5, A4) (D5, Bb4) (Eb4, Bb3) (E4, B3) (F4, C4) (Gb4, Db4) (G4, D4)(Ab4, Eb4) (A4, E4) (Bb4, F4) (B4, F#4) (C5, G4) (Db5, Ab4) (D5, A4) (Eb4, A3) (E4, Bb3) (F4, B3) (F4, C4) (G4, C4) (G4, C4) (G4, D4) (A4, D4) (Bb4, E4) (B4, F4) (C5, F4) (Db5, G4) (D5, G4) (Eb5, A4) $(E4, A3) \quad (F4, Bb3) (F\#4, B3) \quad (G4, C4) \quad (G\#4, C\#4) \quad (A4, D4) \quad (Bb4, Eb4) \quad (B4, E4) \quad (C5, F4) \quad (Db5, Gb4) \quad (D5, G4) \quad (Eb5, Ab4) \quad (Eb5,$ (E4, G#3) (F4, A3) (F#4, A#3) (G4, B3) (Ab4, C4) (A4, C#4) (Bb4, D4) (B4, D#4) (C5, E4) (Db5, F4) (D5, F#4) (Eb5, G4) (E5, G#4) (F4, Ab3) (F#4, A3) (G4, Bb3) (G#4, B3) (A4, C4)(A#4, C#4) (B4, D4) (C5, Eb4)(C#5, E4) (D5, F4) (Eb5, Gb4) (E5, G4) (F4,G3) (F#4,G#3) (G4,A3) (G#4,A#3) (A4,B3) (Bb4,C4) (B4,C#4) (B4,C#4) (C5,D4) (C#5,Eb4) (D5,E4) (Eb5,F4) (E5,F#4) $(F^{\sharp}_{4}4, G3) (G4, Ab3) (Ab4, A3) (A4, Bb3) (Bb4, B3) (B4, C4) (C5, C^{\sharp}_{4}4) (C^{\sharp}_{5}5, D4) (D5, Eb4) (Eb5, E4) (E5, F4) (F5, F^{\sharp}_{4}4) (F5, F^{\sharp}_$ (F#4, F#3) (G4, G3) (G#4, G#3) (A4, A3) (Bb4, Bb3) (B4, B3) (C5, C4) (C#5, C#4) (D5, D4) (Eb5, Eb4) (E5, E4) (F5, F4) (F#5, F#4)



EXAMPLE: OCTAVE SHIFTS AND PERMUTATION





EXAMPLE: OCTAVE SHIFTS AND PERMUTATION



Circle (α) and mirror (β)!

DEPARTMENT of MUSIC AT PRINCETON

MUSICAL KNOWLEDGE AS GEOMETRICAL KNOWLEDGE

- Musicians learn their way around complicated, continuous geometrical quotient spaces.
- Often they exploit shortdistance loops in these spaces.
- The structure of these spaces helps explain many features of Western music.







MUSICAL KNOWLEDGE AS GEOMETRICAL KNOWLEDGE

- By exploring the structure of these spaces we can uncover the conditions of possibility of certain types of musical organization
 - in particular: combining harmony and melody.
- Musical knowledge is knowledge of a continuous space of possibilities.





CONFESSION

- For many years I believed continuity was **absolutely essential** to these models.
 - I could be quite forceful in advocating for the importance of continuity in musical modeling.
- This was wrong.
 - Continuity is completely irrelevant.
- There is a pretty big gap between "absolutely essential" and "completely irrelevant"!



THIRD ERA




CATEGORY THEORY

- Invented by Eilenberg and Mac Lane in 1945.
- Motivated by the desire to describe connections across mathematical areas
 - e.g. between the continuous world of topology and the discrete world of number theory.



Mac Lane Eilenberg





CATEGORY THEORY

- Developed by Alexander Grothendieck
 - used it to radically transform the foundations of algebraic geometry
- William Lawvere connected category theory to traditional themes of philosophy.







LAWVERE

The technical advances forged by category theorists will be of value to dialectical philosophy, lending precise form with disputable mathematical models to ancient philosophical distinctions such as general vs. particular, objective vs. subjective, being vs. becoming, space vs. quantity, equality vs. difference, quantitative vs. qualitative etc.





LAWVERE (2)

Here by "rig" we mean a structure like a commutative ring except that it need not have negatives, and the name of Burnside was suggested by Dress to denote the process of abstraction [...] which Cantor learned from Steiner: the isomorphism classes of objects from a given distributive category form a rig when multiplied and added using product and coproduct; the algebra of this Burnside rig partly reflects the properties of the category and also partly measures the spaces in it in a way which (as suggested by Mayberry) gives deeper significance to the statement attributed to Pythagoras: "Each thing is number."





CATEGORY THEORY: GREATEST HITS

- From 1940–1960 mathematicians noticed analogues to topological phenomena, arising in the *discrete* context of number theory.
- Q: what "space" is formed by the integers?
 what are its points?
- Grothendieck:
 - the integers are a scheme
 - their "points" are the prime numbers!
- This is a radical rethinking of the foundational concept of "space."



CATEGORY THEORY: GREATEST HITS

- Set theory and logic can be reformulated in the language of category theory.
- Many categories have their own intrinsic logic.
 - the category of sets (Set) has a classical logic
 - the category of topological spaces (Top) has an intuitionistic logic!
- *Proof* can be modeled as a kind of motion through a space.
- Logic is fundamentally *topological!*



PERSONAL OPINION

- As far as I can tell, category theory seems relevant to a wide range of philosophical questions.
- Philosophers seem to lag behind in their knowledge of the subject.
 - still often think in old-fashioned (set-theoretical) terms



THE BIG PICTURE

- A lot of phenomena we know from topology (and more generally, the continuous world) have discrete analogues.
- Category theory allows us to extract the deep logic from its original, continuous context.
- Topology is more universal than you think.
- Somewhat surprisingly, this is relevant to music theory.



• Suppose we start with a Pythagorean space of acoustically pure octaves and fifths.





WARM UP EXAMPLE

• This is an infinite 2D plane.





• "Pythagorean pitch space"





• It contains an infinite number of points in every octave.





• You cannot make a (linear) keyboard out of this space (with finite keys/octave)!





• We can make a keyboard capable of playing all* of these notes by forming a quotient





• We declare that these two notes, which sound very similar, correspond to the same key.



motion in

ties



WARM UP EXAMPLE

 We interpret pairs of keys as picking out the *shortest** horizontal path in the space.



* defaulting to rightward

motion in the case of

ties



WARM UP EXAMPLE

• For the purposes of illustration we can also glue together octave-related pitches.





WARM UP EXAMPLE

• Simplifies the geometry to one dimension.





PYTHAGOREAN PITCH-CLASS SPACE

- What results is a quotient space
 - structurally analogous to those of voice-leading geometry.
 - but fundamentally discrete
- Loops in this space represent comma shifts rather than octave shifts.
- Pythagorean pitch-class space.





PYTHAGOREAN PITCH-CLASS SPACE

- This is an example of a category-theoretical discovery:
 - familiar topological concepts (quotient space) have discrete analogues
- Musicians inhabit this space!
 - singers, string players





PYTHAGOREAN PITCH-CLASS SPACE

- Category theory models a fundamental mechanism of *concept formation:*
 - the construction of 12 finite pitch-categories ("pitch classes") from the infinite 2D space of Pythagorean pitches.
- Prerequisite to
 - conventional notation
 - the construction of conventional keyboard instruments





GOAL

- We want to generalize this example.
- We want a *universal theory of symmetry*.
 - applicable whenever any symmetry group acts on any set or space
 - giving us access to familiar toplogical (or *logical*) concepts like quotient space, covering space, homotopy group, etc.
- This can be considered a *topological model of concept formation*.
 - possibly relevant to understanding AI





IN A PICTURE



OBSTACLE

symmetry group = S_3





OBSTACLE





quotient space = S_3

n



PROBLEM

Standard mathematical techniques do not allow us to interpret the meaning of paths in a generalized quotient space, at least not in a way that is useful for musicians or philosophers.

(»))

METAPHYSICS OF PERMUTATION

- Suppose I play the three notes C4, E4, G4 using sine waves at the same volume centered in the stereo field.
- Now I play those same notes again.
- **Q:** did I permute them?

DEPARTMENT of MUSIC AT PRINCETON

• A: huh?

()

())



THE PERMUTATION PRINCIPLE

- **PP:** for a permutation to be conceivable, we need multiple ways of identifying objects:
 - content *plus* some additional attribute
 - spatial position, instrumental timbre, loudness, temporal order, octave
- In other words, for a permutation to be conceivable we need both
 - elements ("content," notes)
 - attributes ("data," loudness, timbre, etc.)



THE PERMUTATION PRINCIPLE

- Attributes generalize the notion of a coordinate system.
 - or perhaps: substance/accident
- Permutations are only conceivable if we have a coordinatized space: a mapping between objects and coordinates.
- A very general, mathematical "principle of relativity."
- As we will see, they link the subjective and the objective.



EXAMPLE: PERMUTATIONS



coordinatized space



EXAMPLE: PERMUTATIONS

symmetry group = S_3



coordinatized space



EXAMPLE: PERMUTATIONS





EXAMPLE: PERMUTATIONS





EXAMPLE: PERMUTATIONS

symmetry group = S_3





(CE)

desymmetrized space



EXAMPLE: PERMUTATIONS

symmetry group = S_3





EXAMPLE: PERMUTATIONS

symmetry group = S_3



loops in the quotient permute objects the way symmetries permute coordinates ("active" vs. "passive")




TWO KINDS OF TRANSFORMATION

- Element permutations provide an *objective, observer-independent* set of transformations on a space ("Cartesian").
- Attribute permutations can be associated with a *subjective*, observer-relative perspective on the space ("transformational").
- The presence of both attributes and elements allows us to define a generalized theory of symmetry.



EXAMPLE: MAPS

rotation the map group **SE**(2)

translations,

4



rotate

the map clockwise

slide the map east















EXAMPLE: MAPS

Coordinates can represent subjectcentered **perspectives** on a space.

The left and right actions correspond to objective and subjective transformations of the space.

These are isomorphic.





UNIVERSALITY

- This structure is universal
 - can be defined whenever any symmetry group acts on any set or space (= groupoid).
- Any symmetry group gives rise to a quotient space.
 - loops in the quotient space represent a subjective perspective
 - apply symmetries in reverse-chronological order
- Provides a geometrical model of a certain kind of concept-formation (generalization into categories)



LAWVERE

The technical advances forged by category theorists will be of value to dialectical philosophy, lending precise form with disputable mathematical models to ancient philosophical distinctions such as [...]objective vs. subjective.





CONECTIONS TO PHILOSOPHY

- Elisabeth Camp (my spouse) is a prominent English-speaking philosopher working on maps and perspectives.
- *Indexicality* is a key component of Camp's work.
 - requires more than just a space
 - a privileged location and direction ("you are here, looking there")
 - "spatial perspective" = observer-centered distances and directions.



THINKING WITH MAPS*

Elisabeth Camp University of Pennsylvania

Most of us create and use a panophy of non-sentential representations throughout our ordinary lines: we regularly use maps to majnet, charts to keep track of complex patterns of data, and diagrams to visualize logical and causal relations among utates of affirs. Buy Inblosophers space instead. In particular, when therorizing about the mind, many philosophers sasume that there is a very tight mapping between language and thought. Some analyze uterances as the outer vocalizations of inner thoughts (e.g. Grice 1997, Devit 2005, Multi others treat thought as a form of inner speech (e.g. Sellars 1956/1997, Carruthers 2002). But vere philosophers who take no stand on the relative priority of language and thought still tend to individuate mental states in terms of the sentences we use to ascribte men. Indeed, Dammet (1993) claims that it is constitutive of analytic philosophy that it approaches the mind by way of language.

In many ways, this linguistic model is salutary. Our thoughts are often intimately intertwined with their linguistic expression, and public language does provide a comparatively tractable provy for, and a window lint, the messier realm of thought. However, an exclusive focus on thought as it is expressed in language threatens to leave other sorts of thought tuncepting and expected as to their possibility. In particular, many cognitive ethologists and psychologists and psychologists that about humans, thinpmazces, birds, rats, and even bees as employing cognitive maps. We need to make sense of this way of talking about minds as well as more familiar sentential descriptions.

mind as well as more immuna sementiane descriptions. In what follow, all minestigate the theoretical and practical possibility of non-menential thought. Ultimately, I am most interested in the tocotours of distinctively human honght: what form soles human thought compare with do those different forms' interact? How does human thought compare with hard of other animal? In this essay, however, I focus on a narrower and more basis theoretical question: could thought occur in maps? Many philosophers are convinced that in some important sense, thought per a must be language-like:



CONNECTIONS TO AI

- In music and philosophy, we deal with quotients of surveyable, low-dimensional spaces.
- It is possible that AI works because it is forming quotients in extremely highdimensional spaces far beyond the reach of human comprehension.



METAPHORICAL PICTURE





Thank you!



www.madmusicalscience.com *Videos, software, and more*



THANKS TO

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